

DOCKET NO.: IBIS-0007

PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**In Re Application of:**

David J. Ecker, Richard Griffey, Stanley T.  
Crooke, Ranga Sampath, Eric Swayze,  
Venkatraman Mohan and Steven Hofstadler

**Serial No.:** 09/076,404

**Group No.:** 1643

**Filing Date:** May 12, 1998

**Examiner:** N/A

**For: MODULATION OF MOLECULAR INTERACTION SITES ON RNA AND  
OTHER BIOMOLECULES**

DATE OF DEPOSIT: 17 September - 1998  
I HEREBY CERTIFY THAT THIS PAPER IS BEING  
DEPOSITED WITH THE UNITED STATES POSTAL  
SERVICE AS FIRST CLASS MAIL, POSTAGE PREPAID  
ON THE DATE INDICATED ABOVE AND IS ADDRESSED  
TO BOX MISSING PARTS, ASSISTANT COMMISSIONER  
FOR PATENTS, WASHINGTON, DC 20231.

Paul K. Legaard  
TYPED NAME: Paul K. Legaard  
REGISTRATION NO.: 38,534

**BOX MISSING PARTS**

Assistant Commissioner for Patents  
Washington DC 20231

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION AND  
REQUEST FOR EXTENSION OF TIME**

In response to the "Notice to File Missing Parts of Application--Filing Date Granted"  
dated June 17, 1998, a response to which is due September 17, 1998, enclosed herewith for filing  
is:



The original Combined Declaration and Power of Attorney, executed by the  
inventor(s). An unexecuted copy of this document, attached to the above-  
identified specification, was filed by Express Mail No. EL066386620US on May  
12, 1998.



An Associate Power of Attorney is also enclosed.

09/24/1998 MPEDPLES 00000013 09076404  
01 FC:215

- ☒ Small entity status of this application under 37 CFR 1.9 and 1.27 has been established by a Verified Statement previously submitted on July 13, 1998 .
- ☐ The original executed Verified Statement Claiming Small Entity Status Under 37 CFR 1.9 and 1.27 is filed herewith.
- ☒ A Verified Statement Claiming Small Entity Status Under 37 CFR 1.9 and 1.27 has been forwarded to the Refund Section, Accounting Division, Office of Finance on July 13, 1998. A copy of this Verified Statement Claiming Small Entity Status form and the Request for Refund form is enclosed for your convenience.
- ☒ Request is hereby made under 37 CFR 1.136(a) to extend the time for response to the Notice to File Missing Parts of Application of June 17, 1998 to and through September 17, 1998 , comprising an extension of the shortened period of One (1) month:

	SMALL ENTITY		NOT SMALL ENTITY	
	RATE	FEE	RATE	FEE
<input checked="" type="checkbox"/> ONE MONTH EXTENSION OF TIME	\$55	\$55	\$110	\$
<input type="checkbox"/> TWO MONTH EXTENSION OF TIME	\$200	\$0	\$400	\$
<input type="checkbox"/> THREE MONTH EXTENSION OF TIME	\$475	\$0	\$950	\$
<input type="checkbox"/> FOUR MONTH EXTENSION OF TIME	\$755	\$0	\$1510	\$
<input type="checkbox"/> FIVE MONTH EXTENSION OF TIME	\$1030	\$0	\$2060	\$
TOTAL FEE DUE		\$55		

Applicant(s) has/have not been notified that the requested extension will not be permitted. The present application is not involved in an interference declared pursuant to 37 CFR 1.207.

- ☒ Two checks totaling the amount of \$120.00 (\$65 for missing parts fee; \$55 for 1 month extension) are attached to cover the surcharge. Please charge any deficiency or credit any overpayment to Deposit Account No. 23-3050.

- ☐ Please charge the surcharge to my Deposit Account No. 23-3050 in the amount of \$@@.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 23-3050. This sheet is attached in duplicate.
- ☒ Any additional filing fees required under 37 CFR 1.16 including fees for presentation of extra claims.
  - ☒ Any additional patent application processing fees under 37 CFR 1.17 and under 37 CFR 1.20(d).
- ☒ The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 23-3050. This sheet is attached in duplicate.
- ☒ Any patent application processing fees under 37 CFR 1.17 and under 37 CFR 1.20(d).
  - ☐ The issue fee set in 37 CFR 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR 1.311(b).
  - ☒ Any filing fees under 37 CFR 1.16 including fees for presentation of extra claims.

This sheet is attached in duplicate.

Date: *17 September 1998*

*Paul K. Legaard*  
Paul K. Legaard  
Registration No. 38,534

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Mackiewicz & Norris LLP  
One Liberty Place - 46th Floor  
Philadelphia PA 19103  
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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Ecker, et al.

Serial No.: 09/076,404

Group Art Unit: 1643

Filing Date: May 12, 1998

Examiner: Not Assigned

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AND OTHER BIOMOLECULES

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TO THE ASSISTANT COMMISSIONER FOR PATENTS,  
WASHINGTON, DC 20231.

Paul K. Legaard  
TYPED NAME: Paul K. Legaard  
REGISTRATION NO.: 38,534

Assistant Commissioner for Patents  
Washington DC 20231

ATTENTION: Refund Section, Accounting Division, Office of Finance

REQUEST FOR REFUND  
(37 CFR 1.28(a))

I. SUBMISSION OF STATEMENT

(complete (a) or (b))

- (a) ☒ Attached is a statement claiming small entity status in this application.
- (b) ☐ A statement claiming small entity status was filed in this application on @@

II. REFUND REQUEST

This request for refund is made within two months of the date a fee was paid in this application on May 12, 1998, in the amount of \$395.00.

III. FEES PAID FOR WHICH REFUND REQUESTED

<b>FEE PAID:</b>	<b>AMOUNT OF REFUND REQUESTED:</b>
Filing Fee	\$395.00
Surcharge for filing the basic filing fee on a date later than the filing date of the application (37 CFR 1.16(e) or 37 CFR 1.16(l))	\$
Surcharge for filing the oath or declaration on a date later than the filing date of the application (37 CFR 1.16(e))	\$
Extension of Term	\$
Issue Fee	\$
Patent Maintenance <input type="checkbox"/> First Maintenance Fee <input type="checkbox"/> Second Maintenance Fee <input type="checkbox"/> Third Maintenance Fee	\$
Patent Maintenance Fee Surcharge	\$
Other:	\$
<b>TOTAL REFUND REQUESTED:</b>	<b>\$395.00</b>

## IV. MANNER OF REFUND

Please make refund by

☒ crediting Deposit Account No. 23-3050.

☐ refunding overpayment.
Date: *July 13, 1998*

*Paul K. Legaard*  
 Paul K. Legaard  
 Registration No. 38,534

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